

Introductory sub-table (I)(a)

Name of the Company: GOA CARBON LIMITED			
Scrip Code: BSE - 509567 / NSE – GOACARBON			
Name of Security, Class of Security : Equity Shares			
Quarter ended: SEPTEMBER 30, 2015			
Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total - D	Nil	Nil	Nil
Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total - E	Nil	Nil	Nil
Warrants :-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total - F	Nil	Nil	Nil
Total paid-up capital of the company, assuming full conversion of the convertible securities (A+B+C) + (D+E+F)	9151052		

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹ (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)				
(d)	Venture Capital Funds	Nil	Nil	Nil	Nil	Nil		
(e)	Insurance Companies	Nil	Nil	Nil	Nil	Nil		
(f)	Foreign Institutional Investors	Nil	Nil	Nil	Nil	Nil		
(g)	Foreign Venture Capital Investors	Nil	Nil	Nil	Nil	Nil		
(h)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil		
(i)	Any Other (specify)	Nil	Nil	Nil	Nil	Nil		
	Sub-Total (B)(1)	12	4366	3181	0.05	0.05	NA	NA
(2)	Non-institutions							
(a)	Bodies Corporate	234	314707	311595	3.44	3.44		
(b)	Individuals –							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	15552	2900508	2521362	31.70	31.70		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	12	170998	159998	1.87	1.87		
(c)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil		
(d)	Any Other (specify)							
	i. Clearing Member	116	66561	66561	0.73	0.73		
	ii. Non Resident Indian (Repat.)	42	32567	32547	0.35	0.35		
	iii. Non Resident Indian (Non Repat.)	46	10827	6067	0.12	0.12		

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹ (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)				
	iv. Directors & their relatives	1	4000	Nil	0.04	0.04		
	v. Trusts	1	100	100	0.00	0.00		
	vi. Hindu Undivided Family	279	147139	147139	1.61	1.61		
	Sub-Total (B)(2)	16283	3647407	3245369	39.86	39.86	NA	NA
	Total Public Shareholding (B)= (B)(1)+(B)(2)	16295	3651773	3248550	39.91	39.91	NA	NA
	TOTAL (A)+(B)	16300	9151052	8747829	100.00	100.00	NA	NA
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(2)	Public	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (C)	Nil	Nil	Nil	Nil	Nil	NA	NA
	GRAND TOTAL (A)+(B)+(C)	16300	9151052	8747829	100.00	100.00		

NA – Not applicable

(l)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1.	Shrinivas Vasudeva Dempo	29400	0.32	Nil	Nil	Nil	Nil	Nil	Nil	Nil	0.32
2.	Shrinivas V. Dempo	339139	3.71	Nil	Nil	Nil	Nil	Nil	Nil	Nil	3.71
3.	Shrinivas Vasudeva Dempo	27400	0.30	Nil	Nil	Nil	Nil	Nil	Nil	Nil	0.30
4.	V. S. Dempo Holdings Pvt. Ltd.	5069040	55.39	Nil	Nil	Nil	Nil	Nil	Nil	Nil	55.39
5.	Motown Investments Pvt. Ltd.	34300	0.37	Nil	Nil	Nil	Nil	Nil	Nil	Nil	0.37
TOTAL		5499279	60.09	Nil	Nil	Nil	Nil	Nil	Nil	Nil	60.09

(*) The term “encumbrance” has the same meaning as assigned to it in Regulation 28(3) of the SAST Regulations, 2011

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1.								
2.								
TOTAL								

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1.								
2.								
TOTAL								

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.			
2.			
TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.				
2.				
TOTAL				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.				
2.				
TOTAL				

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Sr. no. (I)	Category of shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(A)	Promoter and Promoter Group²						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family						
(b)	Central Government/State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/Banks						
(e)	Any Other (Total)						
	Sub-Total (A)(1)						
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Qualified Foreign Investor						
(e)	Any Other (Total)						
	Sub-Total (A)(2)						
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)						
(B)	Public shareholding						
(1)	Institutions						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/Banks						
(c)	Central Government/State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						

Sr. no. (I)	Category of shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(g)	Foreign Venture Capital Investors						
(h)	Qualified Foreign Investor						
(h)	Any Other (Total)						
	Sub-Total (B)(1)						
(2)	Non-institutions						
(a)	Bodies Corporate						
(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.						
	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh						
(c)	Qualified Foreign Investor						
(d)	Any Other (Total)						
	Sub-Total (B)(2)						
	Total Public Shareholding (B)= (B)(1)+(B)(2)						
	TOTAL (A)+(B)						
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
C1	Promoter and Promoter Group						
C2	Public						
	GRAND TOTAL (A)+(B)+(C)						